1 2 2	Classification of Neurons in the Adult Mouse Cochlear Nucleus: Linear Discriminant Analysis
5 4 5 6 7	Paul B. Manis <sup>1</sup> , Michael R. Kasten <sup>1</sup> , Ruili Xie <sup>2</sup>
/ 8 9	<sup>1</sup> Department of Otolaryngology/Head and Neck Surgery, The University of North Carolina at Chapel Hill
10 11 12	<sup>2</sup> Department of Otolaryngology, The Ohio State University, Columbus, OH
13 14	Text Pages:
15 16	Figures: 7
17 18 19	Tables: 1
20 21	Corresponding Author:
22	Paul B. Manis, Ph.D.
23	Dept. of Otolaryngology/Head and Neck Surgery
24	The University of North Carolina at Chapel Hill
25	B027 Marsico Hall
26 27	125 Mason Farm Road Chapal Hill NC 27500 7070
27	Chaper Hill, NC 27599-7070
20	Tel: 919 843 9318
30	Email: paul_manis@med.unc.edu
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#### 37 Abstract

The cochlear nucleus (CN) transforms the spike trains of spiral ganglion cells into a new set of 38 39 sensory representations that are essential for auditory discriminations and perception. These 40 transformations require the coordinated activity of different classes of neurons that are 41 embryologically derived from distinct sets of precursors. Decades of investigation have shown 42 that the neurons of the CN are differentiated by their ion channel expression and intrinsic 43 excitability. In the present study we have used linear discriminant analysis (LDA) to perform an 44 unbiased analysis of measures of the responses of CN neurons to current injections to 45 mathematically separate cells on the basis of both morphology and physiology. Recordings 46 were made from cells in brain slices from CBA mice and a transgenic mouse line, NF107, 47 crossed against the Ai32 line. For each cell, responses to current injections were analyzed for 48 spike rate, spike shape (action potential height, afterhyperpolarization depth, first spike half-49 width), input resistance, resting membrane potential, membrane time constant, 50 hyperpolarization-activated sag and time constant. Cells were filled with dye for morphological 51 classification, and visually classified according to published accounts. The different 52 morphological classes of cells were separated with the LDA. Ventral cochlear nucleus (VCN) 53 bushy cells, planar multipolar (T-stellate) cells, and radiate multipolar (D-stellate) cells were in 54 separate clusters, and were also separated from all of the neurons from the dorsal cochlear 55 nucleus (DCN). Within the DCN, the pyramidal cells and tuberculoventral cells were largely 56 separated from a distinct clusters of cartwheel cells. DCN cells fell largely within a plane in the 57 first 3 principal axes, whereas VCN cells were in 3 clouds approximately orthogonal to this 58 plane. VCN neurons from the two mouse strains were slightly separated, indicating either a 59 strain dependence or the differences in slice preparation methods. We conclude that cochlear 60 nucleus neurons can be objectively distinguished based on their intrinsic electrical properties, 61 but that such distinctions are still best aided by morphological identification.

62

# 63 Introduction

64 Neurons of the mammalian cochlear nucleus exhibit a variety of responses to 65 intracellular current injection, reflecting the distinct expression of collections of ion 66 channels amongst different classes. However, even within a class, such as bushy cells, 67 individual cells may express specific conductances at different magnitudes [1–3], 68 leading to diversity in excitability features such as action potential threshold, action 69 potential height, and rheobase. In spite of this variability, cells of a given morphological 70 class appear to possess common properties that have been used to identify cells on the 71 basis of their electrical signatures alone [4–12]. 72 73 Quantitative methods for identifying cell classes have been explored in the context of 74 the myriad interneuronal populations in cortex [13], within the olfactory bulb [14] and 75 across neuronal populations throughout the brain [15]. These methods rely on 76 systematic measurement of distinct features of intrinsic excitability such as action 77 potential shape, firing rates, passive membrane measures, and responses to 78 hyperpolarization, and have used principal components analysis (PCA), support vector 79 machine model, or stepwise linear regressions. Within the cochlear nucleus, application 80 of an hierarchical clustering analysis to *in vivo* single unit data provided evidence for 81 partial separation of unit response types in the gerbil AVCN [16], although further 82 analysis (using PCA) suggested that there was extensive overlap between cell classes. 83 Here we apply linear discriminant analysis [17] to the problem of separating cell classes 84

in the cochlear nucleus based on intrinsic excitability. Whereas PCA separates classes

86 by finding the axes that maximize the variance within a data set, and does not rely on 87 labels, LDA maximizes the separation between classes, utilizing label (e.g., class) 88 information. We find that LDA is an effective tool for segregating the cell classes based 89 on their excitability, while also suggesting that there is either overlap between the 90 properties of some of the classes, or that they may not be entirely morphologically 91 distinguishable. Such a classification tool should be useful in future studies of the 92 excitability of cochlear nucleus neurons following hearing loss as a way of objectively 93 assessing how the excitability of neurons changes.

94

## 95 Materials and Methods

96 Whole cell tight-seal recordings were made in brain slices from adult CBA (P28-69) and 97 NF107::Ai32 (P31-166) mice. The NF107::Ai32 mice are the F1 cross of the NF107 98 mouse line, originally from the GENSAT Consosrtium [18], and the channel rhodopsin 99 (ChR2) expressing line Ai32 [19], and so are on a mixed CD-1, C57BI/6J and FVB 100 background. The ChR2 was not activated during these experiments. CBA mice were of 101 either sex, whereas the NF107::AI32 mice were only males, as the Cre driver is carried 102 on the Y chromosome. The data from the CBA mice were taken from a previous series 103 of studies [11,20]. Data from the NF107::Ai32 mice were taken from unpublished work 104 (Kasten, Ropp and Manis, in preparation). The CBA slices were prepared following 105 anesthesia (100 mg/kg ketamine and 10 mg/kg xylazine), and decapitation, with slicing 106 in warm ACSF. The NF107:: Ai32 slices were prepared using the same anesthesia 107 followed by transcardial perfusion with an NMDG-based solution [21]. Electrodes 108 contained 126 K-gluconate, 6 KCl, 2 NaCl, 10 HEPES, 0.2 EGTA, 4 Mg-ATP, 0.3 Tris-

109 GTP, and 10 Tris-phosphocreatine, with pH adjusted to 7.2 with KOH and recordings 110 were made with a MultiClamp 700B (Molecular Devices) amplifier, low-pass filtered at 111 6kHz, and digitized at 10-20 kHz with 16-bit A-D converters (National Instruments). 112 Stimulus presentation and acquisition were controlled by either a custom Matlab® 113 program or by acq4 [22]. All animal procedures were approved by the University of 114 North Carolina Institutuional and Animal Care Committee (protocols 12-253, 15-253 and 115 18-160). 116 For each cell, responses to current injections (100-500 msec duration, ranging from -1 117 to 4 nA) were analyzed. Data from either acquisition program were converted to a

common format for analysis by Python (V3.6) scripts. Passive measures included input

resistance (from the slope of the current-voltage relationship just below rest), resting

120 membrane potential, membrane time constant (measured from responses to small

121 hyperpolarizing current steps that produced 2-10 mV voltage deflections), the

magnitude of the hyperpolarization sag [23] and the time constant for the sag measured

123 near -80 mV. Active measures included action potential height (measured from rest to

action potential peak), first spike half-width (measured at half the action potential height

125 from rest), afterhyperpolarization depth (measured from rest to the first

126 afterhyperpolarization), an adaptation index measured near firing threshold (see below),

127 the number of rebound spikes after hyperpolarizing steps, the coefficient of variation of

interspike intervals, and the slope of the firing rate versus current curve for the first 3

129 current levels above threshold. Cells were filled with dyes (AlexaFluor 488 for CBA

130 mice; tetramethylrhodamine biocytin for the NF107:Ai32 mice) for morphological

131 classification, and visually classified according to published accounts, based on digital

- images and image stacks collected at low (4X) and high (40-63X) power either during or
- 133 immediately after each cell was recorded.
- 134 Adaptation was measured for the lowest two levels of current that elicited spikes as:

$$\frac{-2}{N}\sum_{i=0}^{i=N} \left(\frac{t_i}{t_d} - 0.5\right)$$

Where  $t_i$  is the time of the *i*<sup>th</sup> spike in the trace,  $t_d$  is the trace duration, and N is the 135 136 number of spikes. This measure ranges from -1 to 1. Neurons that fire regularly without 137 adaptation throughout the trace will have an index of 0. Neurons that fire preferentially 138 only at the onset of the trace will have an index of 1, whereas those that fire near the 139 end of the trace will have an index of -1. Thus, bushy cells will have an index of 1, 140 stellate cells and tuberculoventral cells will usually have an index near 0, and pyramidal 141 cells may have a negative index, depending on the delay to the first spike. Note that this 142 measure depends on the current level that is used relative to the spike threshold, as 143 well as the current duration. The adaptation measured at the threshold current was 144 found to be uninformative in preliminary analyses, and so the only adaptation computed 145 from the next higher current that evoked spikes was used.

146

All absolute voltage measurements are corrected for a -11 mV junction potential for the
K-gluconate electrodes. All other voltage measurements are differential (action potential
height from peak to the minimum of the following AHP) and are independent of the
junction potential.

151

Computed measures were then analyzed using LDA and PCA using standard libraries
in Python (scikit-learn v0.20, Python 3.6), and in R (3.5, using the packages DisplayR
and flipMultivariates).

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157 Results

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159 The discharge patterns of cochlear nucleus neurons have been reported in a series of 160 studies over the years from multiple laboratories using similar, but not identical 161 recording conditions. Fig 1 shows the intrinsic physiology of example cells from six 162 major morphological classes as recorded in our dataset. Briefly, bushy cells (Fig 1A) 163 fire 1-3 action potentials at the onset of depolarizing current injections, and are silent 164 thereafter [4,5]. At higher current levels, oscillatory membrane responses, which may 165 represent axonally initiated action potentials, are sometimes visible. In response to 166 hyperpolarizing pulses, bushy cells can show a slow sag in membrane potential, and 167 following the hyperpolarizing step can generate an anodal break spike. The planar 168 multipolar cells (Fig 1B) fire regularly in response to depolarizing current injections, and 169 also show a slow sag in response to hyperpolarizing current steps; they can also show 170 anodal break spikes [11,23]. Radiate multipolar cells also fire regularly in response to 171 depolarization, sometimes exhibiting an adapting spike train. They show a rapid sag in 172 response to hyperpolarization, and frequently have anodal break spikes. As noted 173 previously [11], radiate multipolar cells also may fire only at the onset of a weak 174 depolarizing current pulse. Pyramidal (fusiform) cells of the dorsal cochlear nucleus fire

175 regularly [9,24,25], and may have a long delay to the first spike or a long first interspike 176 interval [25–27]. In the adult mice studies here, these cells do not show a prominent 177 sag, but do show a rapidly activating rectification in response to hyperpolarizing steps, 178 which is likely generated by Kir channels [28]. Cartwheel cells show mixed single-179 spiking regular firing and burst firing [7,10]. The tuberculoventral neurons show regular 180 firing, and often have trains of re8ound spikes after hyperpolarization [12,29]. The 181 principal cell database included 18 bushy cells, 31 planar multipolar cells, 32 radiate 182 multipolar cells, 38 pyramidal cells, 12 cartwheel cells, and 31 tuberculoventral cells. 183 Additional cell classes (all from the DCN) had too few cells for effective classification. 184 These included 1 "Type-B" cell [30], 1 chestnut cell [31], 7 giant cells, 2 "horizontal 185 bipolar" cells (small neurons in the pyramidal cell layer of the DCN with a bipolar 186 morphology where the moderately spiny dendrites reside mainly within the pyramidal 187 cell layer), 2 molecular layer stellate cells [32], 3 unipolar brush cells [31,33], and 3 cells 188 that could not clearly be identified on comparison with the literature. These were not 189 included in the analyses.

190

Fig 1. Examples of discharge patterns and passive responses, for different levels
of current injection for 6 classes of cochlear nucleus neurons. A. Bushy; B. planar
multipolar; C. radiate multipolar; D. pyramidal; E. cartwheel; F. tuberculoventral.. Red
dots indicate spikes evoked by current injection.

195

In order to classify the principal cell types, we extracted a set of measurements from the
 current-voltage and spiking responses (N = 162 cells). These are illustrated in Figs 2

and 3. Fig 2 summarize the passive properties of the cells (columns) against the cell
types (rows). From this, differences in the resting membrane potential, input resistances
and time constants can be appreciated between the groups. In addition, measurements
of the time constant of the hyperpolarizing sag, and a previously-used measure [23], the
B/A sag ratio, also show clear differences between the cells, with neurons from the DCN
generally showing weaker I<sub>h</sub>.

204

# **Fig 2. Summary of passive measurements from responses to hyperpolarizing**

206 current pulses across the population of CN neurons. RMP: resting membrane

207 potential;  $R_{in}$ : input resistance;  $\tau_m$ : membrane time constant;  $\tau_h$ : time constant of

208 repolarizing sag from traces near -80 mV; B/A: steady-state over peak voltage

209 deflection for hyperpolarizing sag (from Fujino and Oertel, 2001). The ordinate indicates

the population density based on the kernel density estimate (blue line). The histograms

shows the distribution of values from the population cells for each type and measure.

212

Fig 3 shows measures of action potential shape and firing properties. Again, populationbased distinctions are evident, such as the relatively small and wide action potentials of bushy cells, and the tendency of tuberculoventral and some cartwheel cells to show rebound responses, and the wide coefficient of variation of firing of the cartwheel cells. The firing rate slope measured near threshold also was lowest for bushy, pyramidal and cartwheel cells, and highest for the planar and radiate multipolar cells, and tuberculoventral cells.

220

#### Fig 3. Summary of action potential shape and firing patterns across the

population of CN neurons. AP Peak: action potential peak potential; APHW: action
potential half-width; AHP: action potential afterhyperpolarization; Adaptation: adaptation
calculated from the response to a suprathreshold current injection; Rebound: count of
rebound action potential after the end of the hyperpolarizing current injection; CV:
coefficient of variation of interspike intervals; Irate: slope of the current-firing relationship
for current levels just above spike threshold. The ordinate indicates the population
density based on the kernel density estimate (blue line). The histograms show the

distribution of values from the population cells for each type and measure.

230

231 Next, we submitted the data to a LDA, using all of the parameters measured in Figs 2 232 and 3. Data were first standardized for each measure before being submitted to the 233 LDA. The standardization rescaled the individual measurements for each measurement 234 type so that it had a zero mean and a unit standard deviation. Fig 4 illustrates the first 3 235 components of the LDA, with each cell colored by its classified type, in 3 views (Fig 4A, 236 B, C). The LDA effectively separated the different types of cells into distinct spaces. The 237 bushy and cartwheel cells were the most separated from the remainder of the regular 238 firing cells. Interestingly these two cell groups did not form tight clusters, suggesting 239 some diversity in their properties. The pyramidal and tuberculoventral cells were 240 clustered next to each other, although with minimal overlap. The radiate and planar 241 multipolar cells formed two slightly overlapping clusters that were largely separate from 242 all other cell classes. Note that although most of the bushy, planar and radiate 243 multipolar cells were recorded in CBA mice, those cells recorded from the NF107::Ai32

mice (FVB and C57BI/6 backgrounds; solid symbols) were close to the measures of the
CBA populations, although they were slightly separated in one of the first 3 axes, as
more clearly seen in Fig 4D, where only cells from the VCN are shown. Cross-validation
of the LDA yielded an estimated accuracy of 0.79 (+/- 0.31).

248

249 Fig 4. LDA with supervised clustering by cell morphology. A. The first 3 (largest) 250 LDA components are shown in a perspective view. The axes represent the projections 251 of each cell on to the 3 largest components from the standardized data (zero mean, unit 252 standard deviation; therefore there are no units). Note the clear separation of the bushy 253 cell and cartwheel cells populations from the rest of the CN neurons. Although the other 254 populations are close together, they are also separated as can be appreciated by 255 comparing the different views. Cells from CBA mice are shown with open symbols; cells 256 from the NF107 mice are shown with closed symbols. B, C. Two other perspective 257 views (rotated) of the same data as in A. D. A view of the data for the VCN cells only 258 (bushy, planar multipolar and radiate multipolar) for clarity. This is the same perspective 259 view as panel C.

260

We also submitted the data set to a standard principal components analysis, following the same standardization across cells for each measure (Fig 5). In this case, the supervisory classifier (cell morphology) was not used in the initial classification. The PCA resulted in clusters of cells from the same morphological class, but these had greater overlap than with the LDA. Cross-validation of the PCA data yielded a low accuracy of 0.17 (+/- 0.086).

267

Fig 5. Principal components analysis (PCA) on the same data set as Fig 4. The PCA method is not supervised by cell type, and so the results depend only on factors that maximize the variance. The PCA successfully separated the cell classes, but did not have good accuracy with cross-validation. The view shown is the same format as Fig 4A.

273

274 In order to determine which measures provided the most information in the LDA 275 classification, we performed the LDA using combinations of measures, from individual 276 measures through all available measures, and estimated the accuracy of the 277 classification across all cells by dividing the data into training and testing sets. The 278 accuracy as a function of the number of combined measures is shown in Fig 6. As 279 expected, the accuracy improves as new measures are added, up until about 6 280 parameters, at which point the accuracy plateaus. However, the overall *worst* accuracy 281 continues to improve as more measures are added. The black line indicates the mean 282 of the best 5 combinations of measures. From this we conclude that some of the 283 measures are possibly redundant and that some measures may be non-informative. 284 With 7 or 8 combined measures (where the largest number of combinations was 285 tested), AP height,  $R_{in}$ , RMP,  $\tau_h$  and  $I_{rate}$  occurred together in each of the 5 most 286 accurate runs. Similar, but not identical distributions were present for 8 combined 287 measures. Note that the accuracy of each point includes a standard deviation estimate 288 (not shown) as it is the result of multiple runs with different subsamples of training and 289 test cells, so the best measures can vary with an arbitrary threshold, and there is no

single "optimal" set. With the number of cells in the sample and the large number ofparameters, the SD can be 15-20% of the mean value.

292

293 Fig 6. Accuracy of separation for different number of combined measures, using 294 the LDA separation score for each set of measures. All 12 measures were 295 considered in all possible combinations in groups from 1 to 12 (the number of 296 combinations are shown above the data), and each combination is plotted as a point 297 along the ordinate representing the number of combinations. The mean score is 298 indicated by the red line. The average of the best 5 scores for each combination set are 299 plotted as a black line. In general, including more measures improves the accuracy of 300 the separation of groups.

301

302 To further investigate those factors that drove the prediction accuracy, we performed 303 the same analysis using the R package flipMultivariates. Table 1 summarizes the 304 prediction accuracy by cell type, and provides mean measures for each of the parameters. Although all parameters provide a significant contribution (r<sup>2</sup>) to the 305 separation, the five that accounted for the largest proportions of the variance ( $r^2 > 0.50$ ) 306 307 were the AP height, AP half width, the adaptation measure, the coefficient of variation of 308 interspike intervals, and the firing rate slope (I<sub>rate</sub>). However, all of the measures showed 309 a significant contribution.

310

## 312 Table 1

Measure	Bushy	Cartwheel	Radiate	Pyramidal	Planar	Tuberculo-	$\mathbf{R}^2$	р
			Multipolar		multipolar	ventral		
RMP (mV)	-62.5	-75.2	-63.1	-68.5	-62.7	-67.9	0.41	< 0.001
$\tau_{\rm m}$ (ms)	1.29	5.25	2.83	8.04	4.90	12.71	0.41	< 0.001
$R_{in}(M\Omega)$	27.5	79.6	60.1	68.8	87.9	155.6	0.39	< 0.001
$\tau_{\rm h}$ (ms)	27.5	56.9	12.1	51.0	40.0	74.4	0.32	< 0.001
B/A	0.50	0.95	0.39	0.59	0.63	0.71	0.28	< 0.001
AP Height	-27.6	2.9	5.9	2.2	6.7	-6.9	0.63	< 0.001
(mV)								
AP Width	1.32	0.83	0.28	0.40	0.26	0.35	0.73	< 0.001
(ms)								
AP AHP	-57.7	-53.8	-68.3	-64.6	-69.2	-62.1	0.31	< 0.001
(mV)								
AP	0.96	0.22	0.21	0.10	0.05	0.13	0.62	< 0.001
Adaptation								
AP	0.33	1.37	1.17	1.37	1.10	11.41	0.26	< 0.001
Rebound								
(N)								
CV	N/A	0.71	0.07	0.10	0.05	0.14	0.65	< 0.001
I <sub>rate</sub> (spikes/	5.8	768	1158	412	1232	1148	0.51	< 0.001
nA)								
Correct	94.4	83.3	81.3	92.1	83.9	90.3		
Predictions								
(%)								

313

314 **Table 1.** Relations between the predictors and each cell class, indicating the number of

315 correct predictions overall, and for each class. The top predicting variabiles (largest  $R^2$ 

values) are highlighted in bold. N = 162 cases used in estimation. Null hypotheses: two-

317 sided; multiple comparisons correction: False Discovery Rate correction applied

318 simultaneously to entire table. N/A: not applicable. See Methods and Materials for

details of measurements.

320

321 Fig 7 plots the overall prediction accuracy against the observed classifiers. Although the

322 overall accuracy was fairly high (87.1%), there were several confounds. The most

323	common of these was radiate vs. planar multipolar, which occurred in about 25% of the
324	cells in these groups. The next most common confound was misclassifying planar
325	multipolar and tuberculoventral cells as pyramidal cells, followed by pyramidal cells
326	being misclassified as tuberculoventral and planar multipolar cells. As these cells all fire
327	regularly, and have similar measures on other properties, this is not surprising.
328	
329	Fig 7. Prediction accuracy table (confusion matrix) by cell type. The most frequent
330	mis-classifications occurred amongst cells with similar general firing patterns, such as
331	planar and radiate multipolar cells, and pyramidal and tuberculoventral cells. This table
332	was generated in R using data from all 12 measures. The numbers in each box indicate
333	the proportion of correct classifications for each cell type.
334	
335	
336	Discussion
337	
338	We find that cells thoughout the cochlear nucleus can be classified by firing patterns,
339	action potential shapes, and responses to hyperpolarizing steps, as well as their
340	morphology. Although not surprising given the long history of the identification of various
341	electrophysiological features as identifying characteristics of different morphological cell
342	classes, the extension of these comparisons across classes that fire regularly, and the
343	consistency of these measures in a population of cells taken from adult mice extends
344	the previous observations made in younger mice. In addition, these results suggest that

345 cells can be reasonably classified, at least coarsely, according to their

346 electrophysiological signatures.

347

348 We found that the linear discriminant analysis can be used to classify cells based on 12 349 measured electrophysiological parameters with ~80% success, although there was little 350 improvement when using more than 7 parameters. This requires that the LDA 351 coefficients be estimated from a standardized (training) set of cells before application to 352 an unidentified population. The selection of the training cell, and the quality of that data 353 set, is critical to the success of the technique. Cell morphology should be positively 354 identified, and ambiguous cases discarded, although it is essential to include a full 355 range of cell properties in each class. The measurements should be complete and 356 precise for each cell. Our data set has three limitations in part because it was collected 357 as part of a different experiments. The first is that due to different levels of 358 hyperpolarizing current injection, the voltages reached with hyperpolarizing pulses were 359 not consistent across cells, so that the estimates of the magnitude of the 360 hyperpolarizing sag are influenced by the variability of the voltages reached. The 361 second is that for depolarizing pulses, not all cells reached saturation of their firing 362 rates. For this reason, we did not include maximal firing rates as a measure, but rather 363 focused on the discharge patterns closer to spike threshold. A third limitation is that the 364 current steps near spike threshold were, in some cells, too coarse to precisely define a 365 threshold current. These limitations partly reflect the different input resistances of neurons, as an example, hyperpolarizing pulses strong enough to damage 366 367 tuberculoventral cells often fail to hyperpolarize pyramidal cells to -80 mV.

368

369 Classification errors principally occurred between cell classes with similar firing patterns, 370 such as planar and radiate multipolar cells, and between pyramidal and tuberculoventral 371 cells. In addition, the TV and bushy cells show significant dispersion in the first 3 372 dimensions of the LDA. This may indicate variability in the intrinsic excitability of these 373 cell classes as noted before [12,34,35], or possibly the existence of distinct subclasses. 374 This dispersion was also evident in the unsupervised PCA analysis. Substantially larger 375 datasets of identified cells from individual strains would be needed to clarify the 376 existence of such subclasses in the electrophysiology. An improvement in the 377 classification would be expected to result from the inclusion of additional parameters 378 such as maximal firing rates and the time courses of synaptic events. In addition, in our 379 data set there was some overlap between the planar and radiate multipolar cells. This 380 may in part reflect the limitations of classification for these two similarly firing cell types, 381 but may also indicate the limitations of our morphological classification method, which 382 was qualitative and relied on fluorescent images of the cells collected during the 383 experiments. The qualitative morphological classification of DCN neurons is much 384 easier, so the overlap between the pyramidal and tuberculoventral cells probably 385 represents the limitations of the measurement parameters used, although it could also 386 reflect a true confluence of intrinsic excitability.

387

Part of the dispersion in the VCN cell classes may reflect strain or preparation
 differences, as the cells from CBA mice were slightly offset from those from the
 NF107::Ai32 mice along the second LDA component. The strain difference is

- reminiscent of the differences in HCN channels seen in bushy, planar multipolar and
- 392 octopus cells between ICR and a knockout on a hybrid 129S and C57BI/6 background
- [3]. This raises a cautionary flag that the LDA should be trained on data acquired from
- cells recorded from animals of the same genetic background (and age and preparation
- techniques) if it is to be used to categorize cells from a novel data set.
- 396

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